

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

*In re Lehman Brothers Holdings, Inc., et al.,*

Case Number: 08-13555

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**CVI CVF III Lux Master S.A.R.L.**

Name of Transferee

Name and Address where notices to transferee should be sent:

11-13 Boulevard de La Faire  
L-1528 Luxembourg, Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

**Lehman Brothers (Luxembourg) Equity Finance S.A.**

Name of Transferor

Scheduled Claim #:

\$ \_\_\_\_\_

Scheduled Amount:

Proof of Claim #: 33059

POC Amount: \$14,553,045.00

Debtor: Lehman Brothers Holdings, Inc.

Phone: 952.444.4917  
Last Four Digits of Acct #: N/A

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: N/A

Name and Address where transferee Payments should be sent (If different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

\_\_\_\_\_  
Transferee/Transferee's Agent

Date:

7-31-17

CVI CVF III Lux Master S.A.R.L.

*[Handwritten signature]*

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CVI CVF III Lux Master S.A.R.L.

Name of Transferee

Lehman Brothers (Luxembourg) Equity Finance S.A.

Name of Transferor

Name and Address where notices to  
transferee should be sent:

Scheduled Claim #:

Scheduled Amount:

11-13 Boulevard de La Folie  
L-1528 Luxembourg , Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

Proof of Claim #: 33061

POC Amount: \$67,025,603.00

Debtor: Lehman Brothers Holdings, Inc.

Phone: 952.444.4917  
Last Four Digits of Acct #: N/A

Phone: Lehman Brothers Holdings, Inc.  
Last Four Digits of Acct #: N/A

Name and Address where transferee  
Payments should be sent (if  
different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Transferee/Transferee's Agent

by Carval Investors, LLC  
its attorney-in-fact

Date:

7-31-17

CVI CVF III Lux Master S.A.R.L.

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**CVI CVF III Lux Master S.A.R.L.**

Name of Transferee

**Lehman Brothers (Luxembourg) Equity Finance S.A.**

Name of Transferor

Name and Address where notices to  
transferee should be sent:

Scheduled Claim #:

Scheduled Amount: \$ \_\_\_\_\_

Proof of Claim #: **33057**

11-13 Boulevard de La Foire  
L-1528 Luxembourg , Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

POC Amount: **\$700,837.00**

Debtor: **Lehman Brothers Holdings, Inc.**

Phone: 952.444.4917  
Last Four Digits of Acct #: N/A

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: N/A

Name and Address where transferee  
Payments should be sent (If  
different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Transferee/Transferor's Agent

by Carval Investors, LLC  
its attorney-in-fact

Date:

**7-31-17**

CVI CVF III Lux Master S.A.R.L.

*JX*